



I/ROC/CECAP/COMPLIANCE¹
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ADYOCIS²
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AMP^{2,5}
CFA/IPD*²
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Retail Banking																				
Anti Money Laundering & Terrorist Financing for Banking Professionals	2	2	2	2	2 ¹	2 ¹	2	2		2						2				
Bank Management Fundamentals course (BMF)									9							9				
Business Development Planning - Bank Management (BDPM)									15		8					5				
Client Debt Assessment and Management		0.5		0.5					1	1	1	8				1				
Communicating to Succeed Business Communications		10		10			10		10	10	10	5				10	10			
Communicating to Succeed Business Writing		10		10			10		10	10	10	5				10	10			
Communicating to Succeed Interpersonal Communications		10		10			10		10	10	10	5				10	10			
Culture of Compliance: Working in Financial Services			1				1	1		1		1				1				
Economics										45						45				
Ethical Practice in the Financial Services Industry	10	10	10	10	10		10	3	10	10	10					10	10	10		3
People and Team Management (PTM)										15										
Personal Financial Services Advice				40			30				30					30	30			5
Preparing for Retirement (PFR)	3	3	3	3			3		3	3	3	3				3	3	3	3	3
Safeguard Elderly Clients from Financial Fraud	1	1		1						1	1	8				1	1	1	1	1
Understanding the Needs of New Immigrant Clients	1	1	1	2			2		2	2	2	8				2	2	2	2	2
Financial Planning and Insurance																				
Accounting										45						45				
Applied Financial Planning Certification Examination (AFP)	20			40			30			30	30	10				30	15	15	15	10
Behavioural Finance - Get Inside Your Client's Mind ²	9	9	9	9			9		9	9	9	9	9	9	9	9	9	9	9	9
Benefits at Retirement (BAR)	3	3	3	3			3		3	3	3	3				3				3
Business Succession for Small Business Clients	3	3	3	3			3		3		3									
Business Taxation Issues and Planning	15	15	15	15			15		15	15	12	6				15	12	12		12
CSI Capstone Course for CFP® Certification	20	40					30			30	30						30	15	10	10
Developing a Social Media Plan for Financial Professionals	1	1	2						2	2	2	8				2	2	2	2	2
Estate Planning	3	3	3						3	3	3	3				3	3	3	3	3
Financial Management at Your Client's Retirement (FMCR)	3	3	3	3			3		3	3	3	3				3	3	3	3	3
Financial Planning for Businesses - Quebec										45						45				
Financial Planning for Snowbirds ²	5	5	5	5			5		5	5	4	5	5	5	5	5	4	4		4
Financial Planning I (FP I)				40			30			30	30					30	30	10	10	10
Financial Planning II (FP II)	20	40					30			30	30	30				30	30	10	10	10
Financial Planning Supplement (FPSU)	20	40					9			30	30						30	30	7	10
Fundamentals of Financial Planning (FOFP)	20	40					9			30	30						30	30	5	10
Fundamentals of Personal Finance										45	30					45				
Guidelines for Financial Professionals Using Social Media			1	1			1		1	1	1	1				1	1	1	1	1
Individual Pension Plans ²	3	3	3	3			3		3	3	3		3	5		3	3	3		3
Insurance and Retirement - Quebec										45						45				
Investment and Tax Planning - Quebec										45						45				
Investment and Taxation Fundamentals - Quebec										45						45				
Legal and Ethical Aspects of Financial Planning	20	40								45						45				
Liability for Tax, Attribution Rules & Income Splitting Techniques	10	10	10							10	10	8	6			10	8	8		8
LLQP																				
Accident & Sickness	20	12	12	12			12		12	30	30		20			30	30	30		30
Ethics and Professional Practice (Quebec Civil Code)	20	12	12	3	12	3	12	3	12	30			20							
Ethics and Professional Practice (Common Law) - Enrollments as of Feb 1, 2017	20	20	15	3	12	3	15	20	20							20	20	20	20	20
Ethics and Professional Practice (Common Law) - Enrollments Prior to Feb 1, 2017	20	12	12	3	12	3	12	20	20			20				20	20	20	20	20
Life Insurance	20	12	12		12				12	30	30		20			30	30	30		30



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Segregated Funds		20	12	10		12		12	30	30		20		30	30	30	30	
Making Your Message Stick with Today's Client ²		6	6	6				6	6	6	6	6		6	6	6	6	5
Planned Charitable Giving		3	3	3						3					3	3	3	3
Risk Management and Estate Planning - Quebec									45					45				
Segregated Funds		3	3	3		3		3	3	2.75				3	2.75	2.75	5	2.75
Investment Management and Trading																		
90-Day MF Skills Builder							3	9										
Advanced Derivatives Market Strategies (ADMS)		20	40	18		18		27				20		30				
Building a Mutual Funds Advisory Practice (BMAP)								20	30	5				30		5	5	5
Canadian Securities Course (CSC)									Ex 1: 30 Ex 2: 30	30				Ex 1: 30 Ex 2: 30	30	30	Ex 1: 10 Ex 2: 10	5
Commodities as Investment (CAI) ²		20	40	15		30		30				40	40					
Conduct and Practices Handbook (CPH)				10	3	30	3	30	30					30				
Covered Call Writing		3	3	3				3	3					3				
Derivatives Fundamentals and Options Licencing Course (DFOL)		20	40	15		30		30	30					30				
Derivatives Fundamentals Course (DFC)		20	40	15		30		30	30					30				
Enhanced Suitability for IROC Advisors ⁶	3	3	3	3	3 ¹	3 ¹		3	3	3				3				
Equity Trading and Sales ²	3	9	3	9	9	3		3	9	9		9	2	9	9			
ETFs: Evolution and Emerging Trends		3	3	3		3		3	3	3		3		3	3	3	3	3
Financial Markets - Risk Management Course (FRM) ²		20	40	15		30		30	30			40	40	30	15			5
Fixed Income Trading and Sales ²	3	9	3	9	6	3	3	3	9			9	2	9	9			
Futures Licensing Course (FLC)		20	40	15		30		30	30					30				
Hedge Fund Essentials for Today's Financial Professionals ²		9	9	9		9		9	9	9		9	9	9	9			5
How Mutual Funds and Hedge Funds Use Derivatives		6	6	6		6		6	6	3				6	3			3
Investment Funds in Canada (IFC)									30	30				30	30	30	10	5
Investment Management Techniques (IMT)		20				30		30	Ex 1: 30 Ex 2: 30	30				Ex 1: 30 Ex 2: 30	30	30		5
Investment Strategies for Retirees		3	3	3				3	3	3				3	3	3		3
Options Licensing Course (OLC)		20	40	15		30		30	30					30				
Options Strategies Course (OSTC) ²		20	40	15		30		30	30			40	40	30				
Overview of Institutional Markets (OIM)		3	3									3	3					
Portfolio Management Techniques (PMT)		20				30		30	45	22.5				30	22.5	22.5	3	5
Portfolio Theory, Asset Allocation & Performance Measurement		3	3	3		3		3	3	3				3	3			3
Principal-Protected Notes ²		6	6	6		6		6	6	3		6	6	6	3			3
Responsible Investment: Trends and Opportunities		3	3	3		3		3	3			3	3	3				
Technical Analysis Course (TAC) ² - Enrollments Prior to September 6, 2017		20	40	15		30		30	30			40	40	30				
Technical Analysis Course (NEW) ² - Enrollments as of September 6, 2017		20	40	30		30		30	30			40	30	50				
The Crude Oil Market – Industry Overview and Investment Options		3	3	3		3		3	3			3	3	3				
The Gold Market - An Industry Overview and Investment Options		3	3	3		3		3	3			3	3	3				
The Natural Gas Market		3	3	3		3		3	3			3	3	3				
Trader Training Course ²		20	40						30			40	2	40	30			
Understanding High Frequency Trading ²		3	3	3				3	3			3	3	3				
Understanding Private Equity ²		3	3	3		3		3	3	3		3	3	3	3	3		3
Understanding TFSAs		3	3	3		3		3	3	3				3	3	3	3	3
Wealth Management and Private Banking																		
A Guide to International Investing ²		3	3	3								3	3					



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Advanced Estate Planning and Trust Strategies (AETS) 2		20	40			30	24	45	30		40	40	30		26				
Advanced Investment Strategies (AIS)		20	40			15	15	45	5				25	5	5	5	5		
Advanced Retirement and Estate Planning Strategies (ARES)		20	40				30	30	30		20		30		30	30	10		
Advanced Retirement Management Strategies (ARMS) 2		20	40			30	30	45	20	40		40	30	20	20	20	10		
Case Application Examination								45					45						
Estate and Trust Administration								45	45				45						
Estate and Trust Asset Management					15			25	25				25						
Ethics and the Client Experience - Estates and Trust					15			25	25				25						
Executive Compensation (EXCO)		3	3	3		3	3	3	3	3	3		3	3	3	3	3	3	
Identifying a Private Client's Corporate Banking Needs (ICCB)		3	3	3		3	3	3		3			3						
International Taxation for Canadians (ITC) 6		9	9	9		9	9	9	9	9		9	9	9	9	9	9	9	
Laws of Estates and Trusts					15			25	25				25						
Strategic Wealth 360 2		20	40			30	30	30	30	40		40	30	30	30	5	5		
Taxation Considerations for Estates and Trusts		20	20	15			15	30	30	20		20	20	30	30	5	10		
Wealth Management Essentials (WME)		20	40			30	30	45	30	24			Ex 1: 30 Ex 2: 30	30	30	10	10		
Business Banking																			
Building Relationships with Small Business Clients (BRSB)		20	40	15			15	30	30				30	30	15	15	10		
Delivering Small Business Solutions (DSBS)								30	30		8		30						
Moody's Analytics Analyzing Commercial Real Estate (ACRE)					15						8								
Moody's Analytics Commercial Lending (CLCA)					15						8								
Moody's Analytics Managing Client Relationships (MCR)					10						8								
Personal Lending and Mortgages (PLM)									15	30			30						
Problem Loans Course (PLC)								9			4	11							
Profitability and Credit Risk (PCR)								9			4	7.5							
Small Business Lending (SBLC)					10	15		15					25						
Small Business Operations and Financial Analysis (SBOF)									30		8		30						
Understanding the Small Business Environment (USBE)					15	30		30	30	8			30						
Management, Supervision and Compliance																			
Anti Money Laundering & Terrorist Financing for Securities Professionals		3	3	3	3	3 ¹	3 ¹	3	3		3			3					
Applied Investment Dealer Compliance 2		9		9		6	3		3			2							
Branch Compliance Officer's Course (BCO)				10		12	3	12	3	9	30	13		30	13			5	
Branch Manager's Course (BMC)		10	20	10	40	15	3	12	3	30	30			30					
Bringing New Issues to Market (BNIM) 6		3		3		3	3	3		3		1	2	3	3				
Canadian Commodity Supervisors Exam (CCSE)		10	20	10	40	15	3	30	3	30	30			30					
Canadian OTC Derivatives Market Reform (CDMR) 6		3		3		3	3	3		3		1	2	3	3				
CE Ethics Course, Retail Version		10		10		9	3	12	3		12	10		12	10	10	10	3	
Chief Compliance Officers Qualifying Examination (CCO)		10	20	10	40	15	3	30	3	30	30			30			5		
Chief Financial Officers Qualifying Examination (CFO)		10	20	10	40	15	3	30	3	30									
Effective Management Seminars		10	20	10	20	15	3		3	20	20	13	13		20	13	5	5	3
Enhancing Client Relationships -CRM Phase 2 6		3		3			3		3		3		1	2	3	3			
ETF for Mutual Fund Representatives 6 - Enrollments Prior to December 14, 2016					5	5		5		5	5	5			5	5	5	5	
ETF for Mutual Fund Representatives 6 - Enrollments as of December 14, 2016			6		10	10		10		10	10	10	10	10	10	10	10	5	
Ethics for Institutional Registrants		3		3		3	3	3		3		1	2	3	3				
FICB Integrative Project										45				45					
Financial Services Industry: Business Drivers and Challenges (FSDC) 2			20		20	15		20		20	20	10	5	20	20	10	5	5	5



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Fraud in the Securities Industry	10	12	10	12	9	3	12	12	12	12	6					12	6					5	
Insider Trading: Regulations and Prevention	3		3		3 ¹	3 ¹	3	3		3													
Investment Dealer Compliance Course (IDCC)	10		10									10	2				3						
Leveraging CRM to Enhance Client Relationships - Seminar	10		10			3		3		12		10	2										
Options Supervisors Course (OPSC) ²	10	20	10	40	15	3	30	3	30	30		40	2	40	30								
Overview and Trends - Trade Desk Risk Management and Compliance	10		10																				
Partners, Directors and Senior Officers Course (PDO)	10	20	10	40	15	3	30	3	30	30												30	
Role of the Gatekeeper	3	3	3	3	3 ¹	3 ¹			3	3	3											3	
Safeguarding Market Integrity: Challenges and Solutions (SMIC)	9		9			9		3		9												9	
Understanding Investment Dealer Business Units ²	3	6	3	6	6	3	6	3	6			3		3									

*PD = Professional Development

**SER = Standards, Ethics and Regulation

¹ Credits may not be used to satisfy both Compliance and Professional Development (PD) requirements at the same time.

² CSI believes these courses meet the CE guidelines of these organizations. We advise that participants or their firms determine whether completion of the seminar, program or course qualifies for CE credits and what type of CE credits (technical/general or compliance/professional development) the seminar, program or course qualifies for.

Note: Courses that lead to initial accreditation generally cannot be used for continuing education purposes for the achieved license or designation.

³ We've submitted this course to the regulator for approval and are currently waiting for notification of course acceptance and confirmation of CE credits.

⁴ This course is not eligible for CE credits for FPSC.

⁵ AMP participants are to self-report their third party CEU by logging on to www.mortgagecampus.org

⁶ This CE activity has been approved by FPSC[®] as meeting the minimum requirements for CE approval as outlined within the FPSC Continuing Education Guidelines. The views and opinions expressed in this presentation are those of the presenter/content author and do not necessarily reflect the views of Financial Planning Standards Council (FPSC).

Notice: While CSI makes every effort to ensure that the information on this chart is correct and up-to-date, course accreditation may be subject to change at any time due to content updating and/or accrediting organization assessment requirements.