



	E	F	CSF - COMPLIANCE	CSF - GENERAL SUBJECTS	CSF - INSURANCE OF PERSONS	CSF - GROUP INSURANCE OF PERSONS	CSF - GROUP SAVINGS PLAN BROKERAGE	CSF - SCHOLARSHIP PLANS BROKERAGE	IQPF - SFFPA	IQPF - SC	IQPF - SC-FP
Best Execution for Investment Representatives	*	*		5					1	4	
Bringing New Issues to Market (BNIM)	*	*	2	3						5	
Canadian Commodity Supervisors Exam (CCSE)	*	*	30				30				
Canadian OTC Derivatives Market Reform (CDMR)	*	*	2	1							
CE Ethics Course, Retail Version	*	*	12								
Cybersecurity (CCS)	*	*	3							3	
Dealer Capital Requirements: What You Should Know	*	*		3						2	
Dealing with Seniors	*	*		3						3	
Effective Management Seminar	*	*	10	10							
Enhancing Client Relationships – CRM Phase 2	*	*	3							3	
Financial Services Industry: Business Drivers and Challenges (FSDC)	*	*	5	5	1	1	9		15	5	
Insider Trading: Regulations and Prevention	*	*	3							3	
Managed Accounts: Compliance Issues and Considerations (MAS)	*	*		3						2	
Managing Compensation Related Conflicts of Interest	*	*	5							5	
Options Supervisors Course (OPSC)	*	*	30				30				
Partners, Directors and Senior Officers Course (PDO)	*	*	30	30					25	5	
Private Placements and Prospectus-Exempt Securities (PPP)	*	*		3					2		
Role of the Gatekeeper	*	*		3						3	
Understanding Investment Dealer Business Units	*	*		4			2		6		

Notice: While CSI makes every effort to ensure that the information on this chart is correct and up-to-date, course accreditation may be subject to change at any time due to content updating and/or accrediting organization assessment requirements.

³ We've submitted this course to the regulator for approval and are currently waiting for notification of course acceptance and confirmation of CE credits.